

Beyond Novelty Detection: Incongruent Events, when General and Specific Classifiers Disagree

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Novelty Detection

- Machine learning techniques typically attempt to predict the future based on past experience
- An important task is to decide when this is not the right thing to do – the task of novelty detection
- Our contribution:
 - Catalogue different types of novel events based on the combined response of classifiers at different levels of generality
 - Offer a unified framework for incongruent events – events accepted by general classifiers, while being rejected by specific classifiers
 - Identify applications in speech and computer vision, and design appropriate algorithms.

The unexpected is to be expected

- The unexpected (novel) has low posterior probability given past observations, for such reasons as:

- Poor measurements



- Low prior probability in a certain context



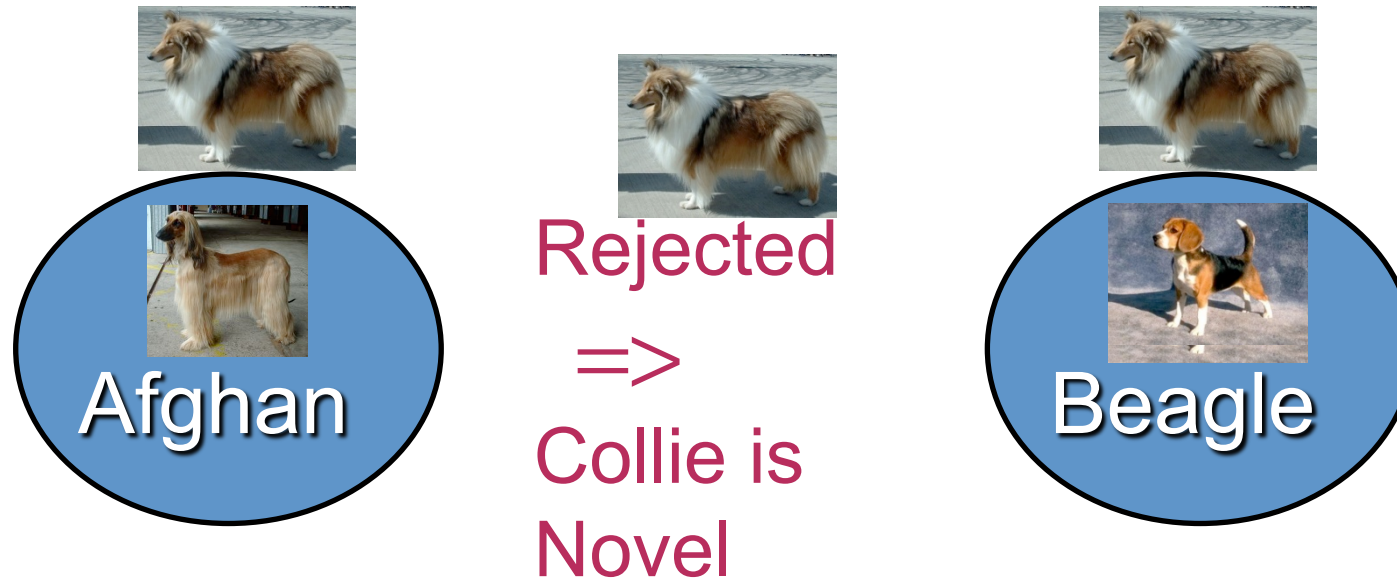
- Novel combination of familiar parts

- Unfamiliar class



Novelty Detection: common practice

A common practice when dealing with novelty is to identify it by **rejection** (or low posterior): declare novelty when no known classifier accepts a test item.

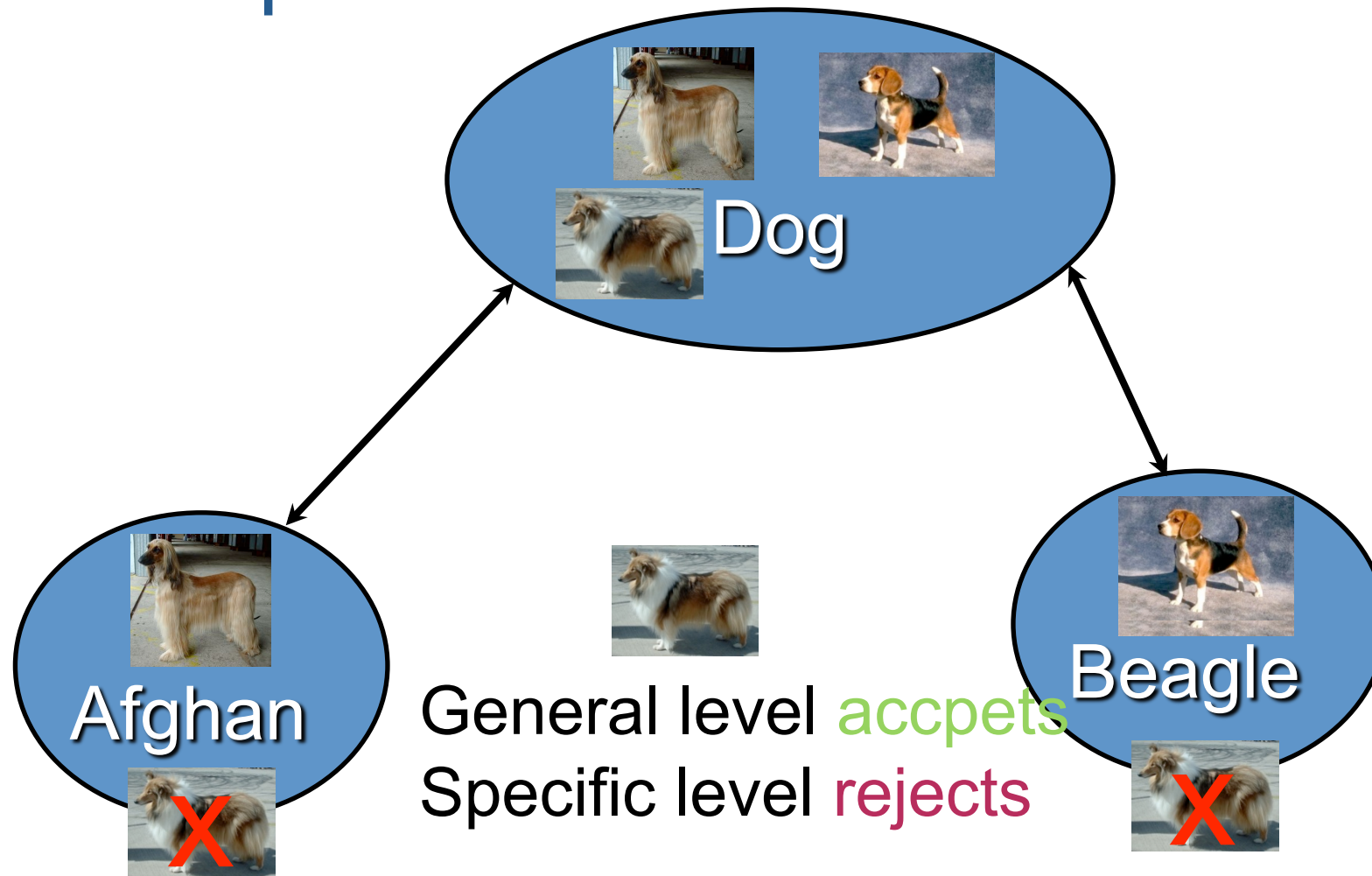


Proposed methods mostly differ in the way known data is modeled and how rejection is achieved.

Incongruent Events

- Novel **Incongruent events** are detected by the **acceptance** of a general level classifier and the **rejection** of the more specific level classifier.
- Deviation from common practice: we first look for a level of description where the novel event is highly probable.

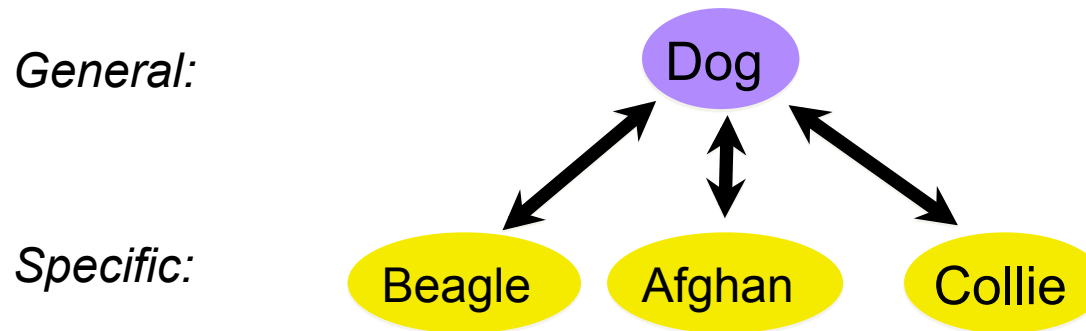
Example:



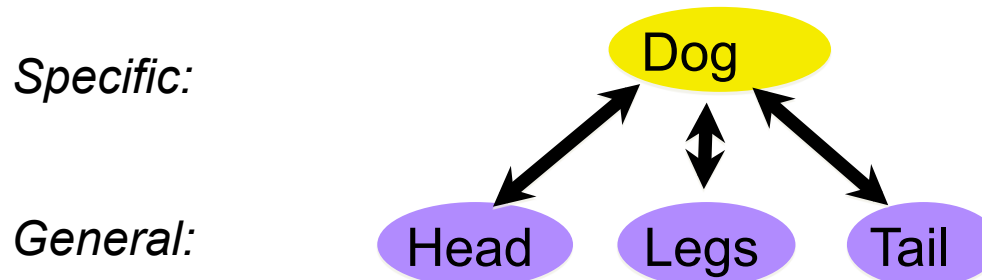
[earlier example: in some face recognition methods, it has been suggested to precede individual face recognition by generic face detection]

Examples of General-Specific relations:

- Class-Membership (as in human categorization) – where objects are categorized at different levels of generality

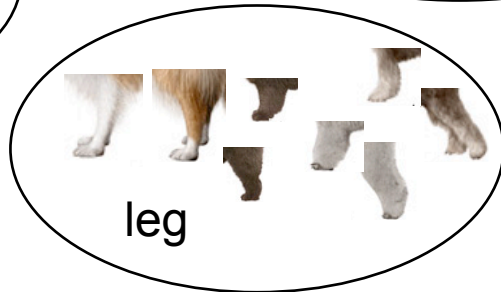
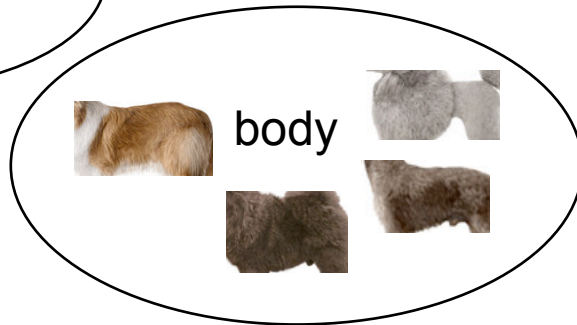
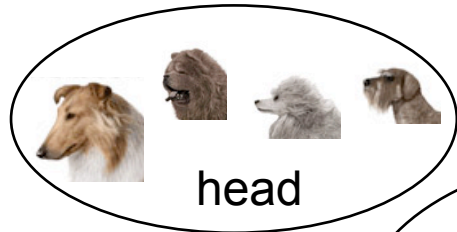


- Part-Whole relationship

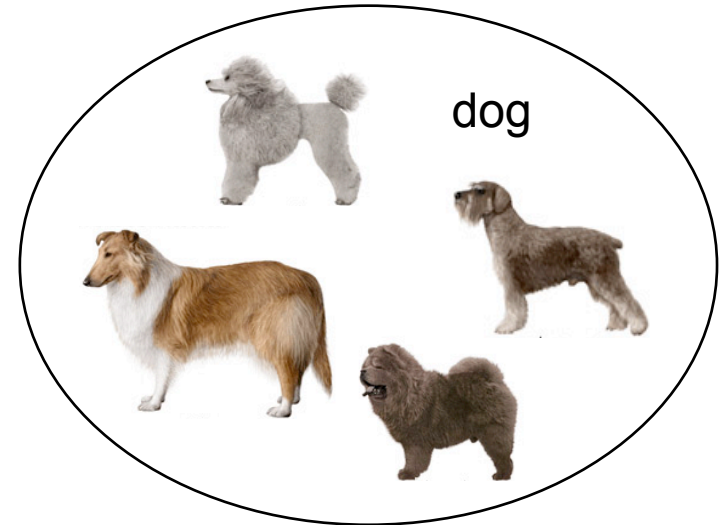


[it may seem counter-intuitive that 'leg' is more general than 'dog'; there are more observations showing legs than those of a whole dog]

Part-Whole relationship



general level

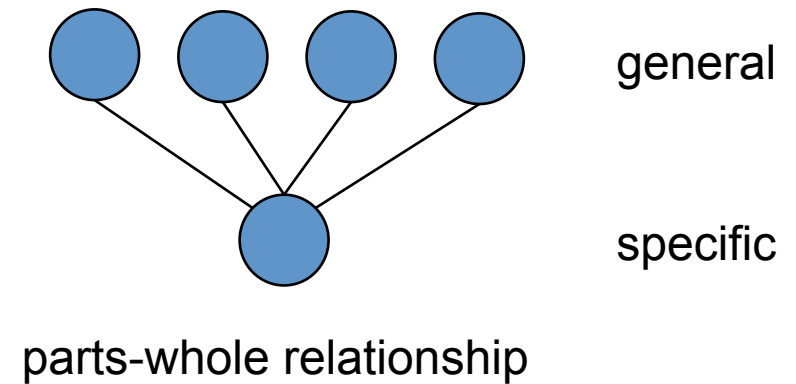
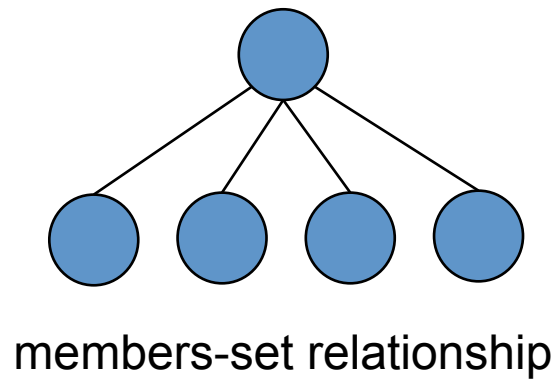


Specific level

dog=body+head+tail+legs

Levels and classifiers:

- There may be one-to-many relations between the general and specific classifiers

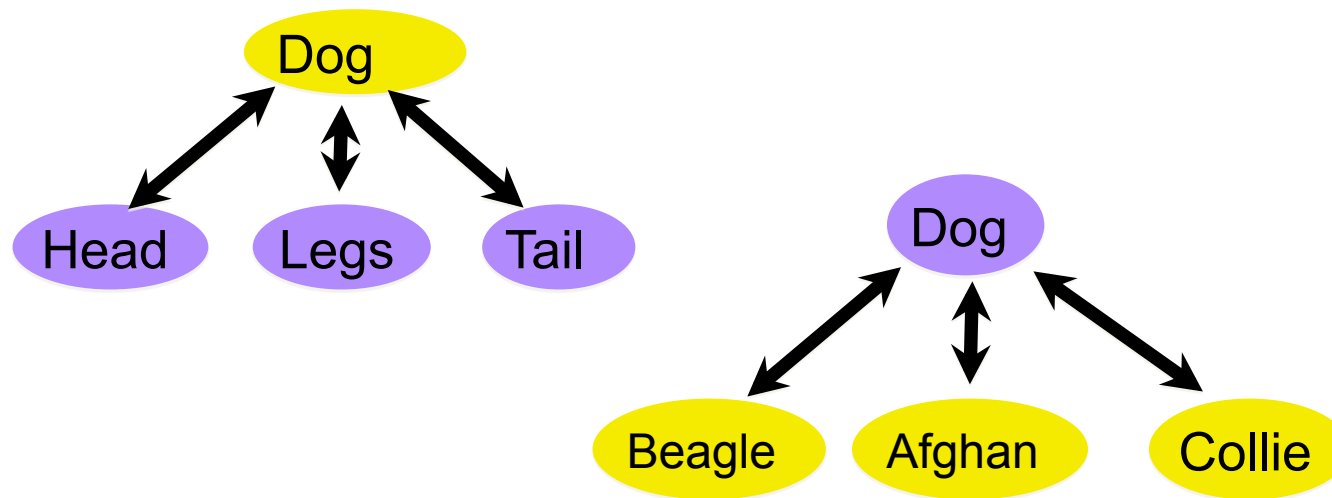


Relations between the levels:

	Specific level	General level	Possible reason
1	reject	reject	noisy measurements, really novel event
2	<i>reject</i>	<i>accept</i>	<i>incongruent concept</i>
3	accept	reject	inconsistent , models are wrong
4	accept	accept	known concept

Partial Order: A Unified Approach

- The two hierarchies part-whole and class-membership have different one-to-many and many-to-one relations between the general and specific levels.



- In order to deal with both hierarchies in the same framework, we use the notion of partial order.

Partial Order: A Unified Approach

- Concepts are ordered according to the size of the set of events they correspond too: $a \subset b \Rightarrow a \leq b$
- Intuitively speaking, different levels in each hierarchy are related by a partial order: the more specific concept a , which corresponds to a smaller set of events or objects in the world, is always smaller than the more general concept b , which contains all the events in a and more.

$\text{Dog} = \text{Head} \cap \text{Legs} \cap \text{Tail}$ thus $\text{Dog} \subset \text{Legs}$ \Rightarrow $\text{Dog} \leq \text{Legs}$

$\text{Dog} = \text{Beagle} \cup \text{Afghan} \cup \text{Collie}$ thus $\text{Dog} \supset \text{Beagle}$ \Rightarrow $\text{Dog} \geq \text{Beagle}$

Partial Order: definitions

Given a class/concept '**a**' we define:

$A^s = \{b \in G, b \leq a\}$ all concepts which are more specific than '**a**'

$A^g = \{b \in G, a \leq b\}$ all concepts which are more general than '**a**'

All events which correspond to concept $b \in A^s$ correspond also to concept **a**. *[Each Beagle is also a Dog.]*

All events which correspond to concept **a** correspond also to all concepts $b \in A^g$. *[Each Dog has Legs.]*

Partial Order → multi-level classifiers

For each concept, we construct up to 3 different classifiers:

using the same input data

giving the same (or similar) output on training data

$Q(X)$: sees examples from the concept (implicit knowledge)

$Q^s(X)$: sees examples from the more specific concepts (explicit knowledge)

$Q^g(X)$: sees examples from the more general concepts (explicit knowledge)

$Q(x)$: classifier derived without partial order relations

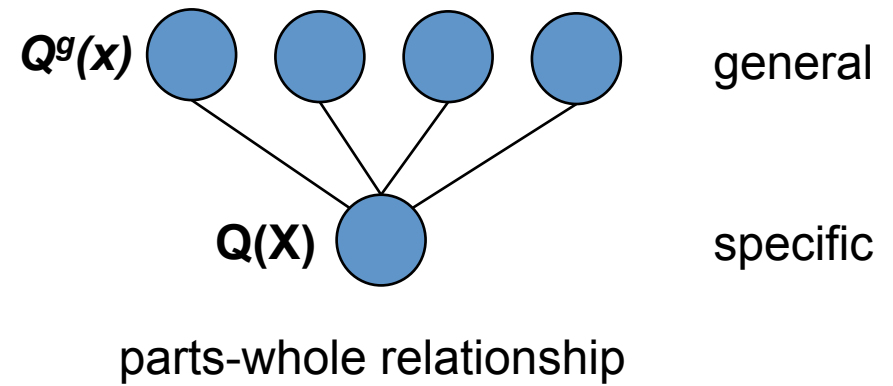
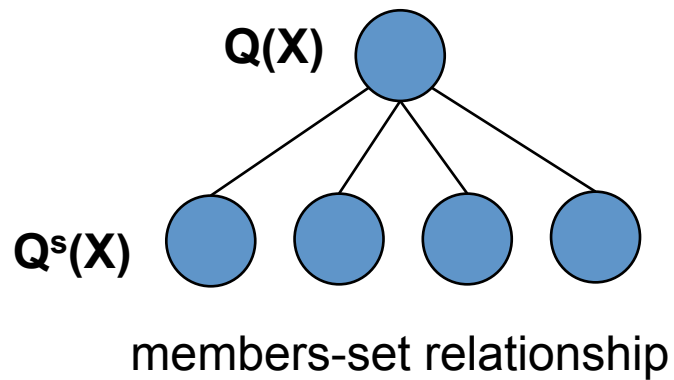
If $|A^s| > 1$, **$Q^s(x)$** : classifier based on the probability of concepts in A^s .

If $|A^g| > 1$, **$Q^g(x)$** : classifier based on the probability of concepts in A^g .

We look for disagreement on test data, to find incongruent events:

*Observation X is **incongruent** if there exists a concept for which **$Q^g(X)$** accepts and **$Q(X)$** rejects, or **$Q(X)$** accepts and **$Q^s(X)$** rejects*

Levels and classifiers:



Relations between the levels:

	Specific level	General level	Possible reason
1	reject	reject	noisy measurements, really novel event
2	<i>reject</i>	<i>accept</i>	<i>incongruent concept</i>
3	accept	reject	inconsistent , models are wrong
4	accept	accept	known concept

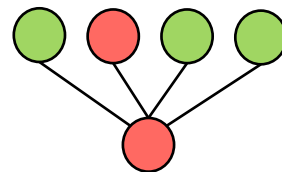
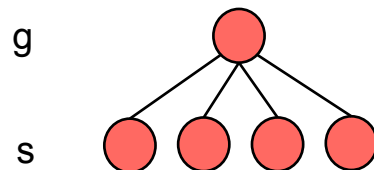
Relations between the classifiers:

- on the training set the two levels have to agree

	Specific level	General level	Possible reason
1	reject	reject	noisy measurements, no concept
4	accept	accept	known concept

members-set

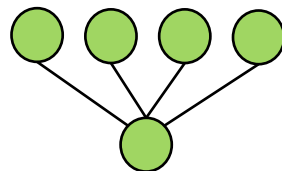
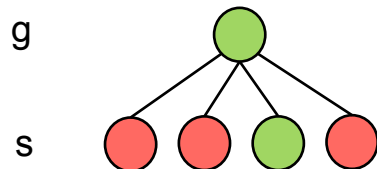
parts-whole



one of the general classifiers rejects



all of the specific classifiers reject



all of the general classifiers accept

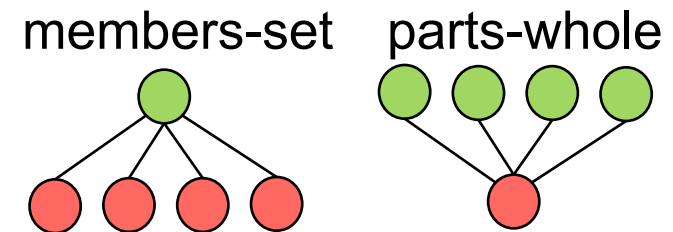


one of the specific classifiers accepts

Incongruent Events – the levels disagree on test data

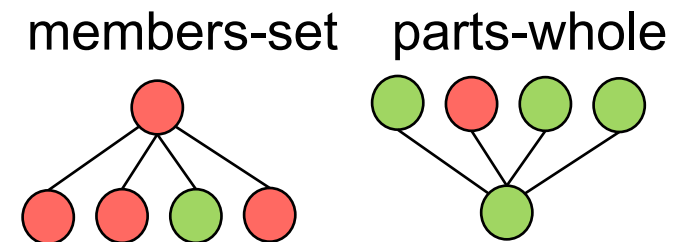
	Specific level	General level	Possible reason
2	<i>reject</i>	<i>accept</i>	<i>incongruent concept</i>

- Item is in the general category, but it doesn't fit any of the sub-categories
- all the parts are there, but the whole isn't there after all



	Specific level	General level	Possible reason
3	accept	reject	inconsistent with partial order, models are wrong

- Item is not in the general category but one of the members fits one sub-category
- one of the parts is missing, but the whole is still there



Applications

- Unified definition is rather abstract, algorithms are likely to be quite different for the two different hierarchies
- Two different algorithmic implementations
 - Computer vision: **New subclass detection** using Class-membership
 - Speech: **Out Of Vocabulary** word detection using Part-whole membership

Applications: New subclass detection

Known:



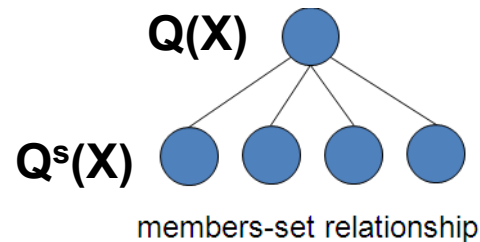
Unknown:



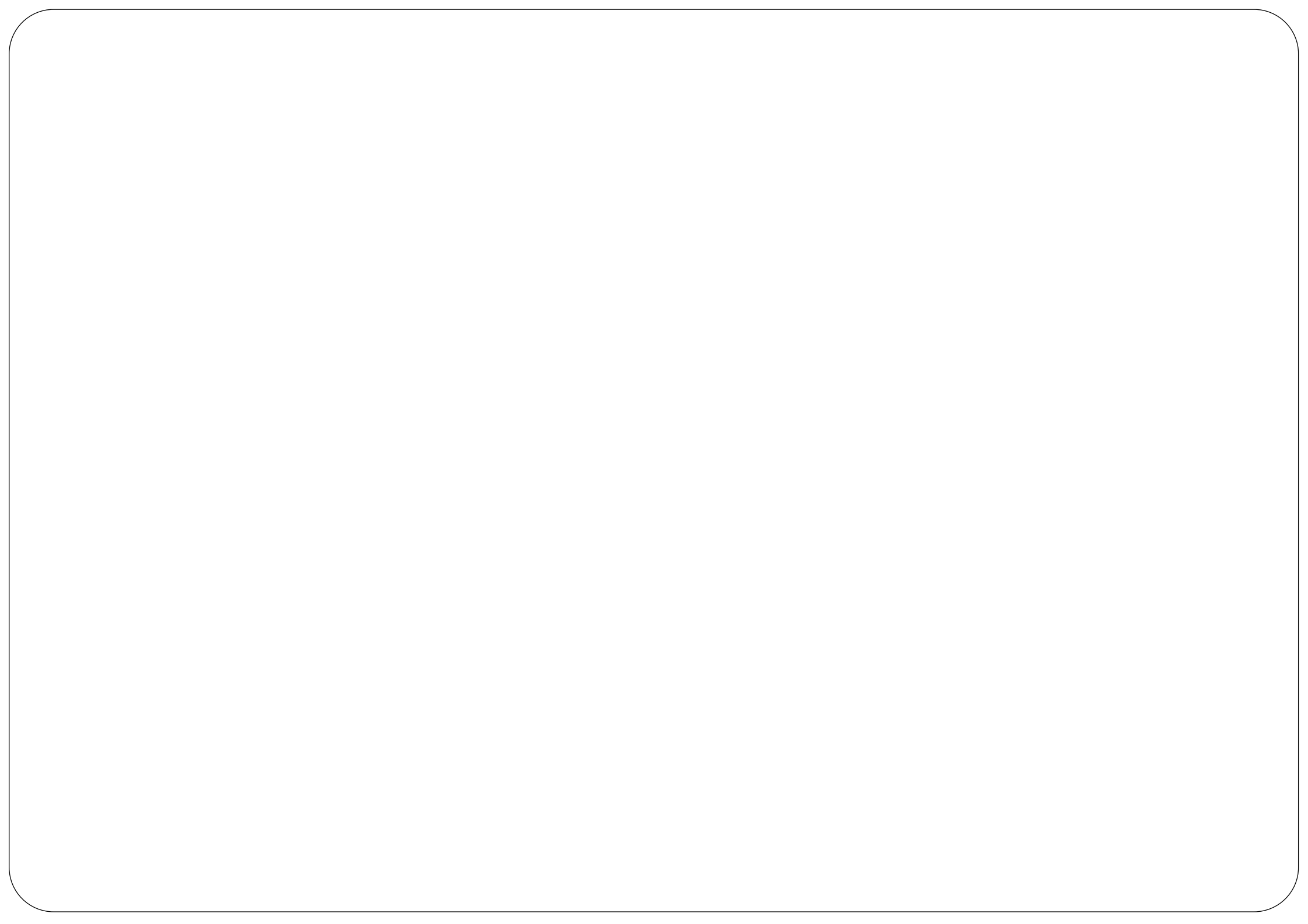
Background:



- Task: Given a sample X , classify it as: a known-subclass, unknown-subclass or background.



- Two types of classifiers are trained, General classifier: $Q(X)$, Specific classifier: $Q^s(X)$.
- An incongruence - acceptance by $Q(X)$ and rejection by $Q^s(X)$, leads to new subclass detection.



Applications: New subclass detection

Known:



Background:



- $Q(X)$:

- The General classifier is trained using the union of the training data from all known subclasses.

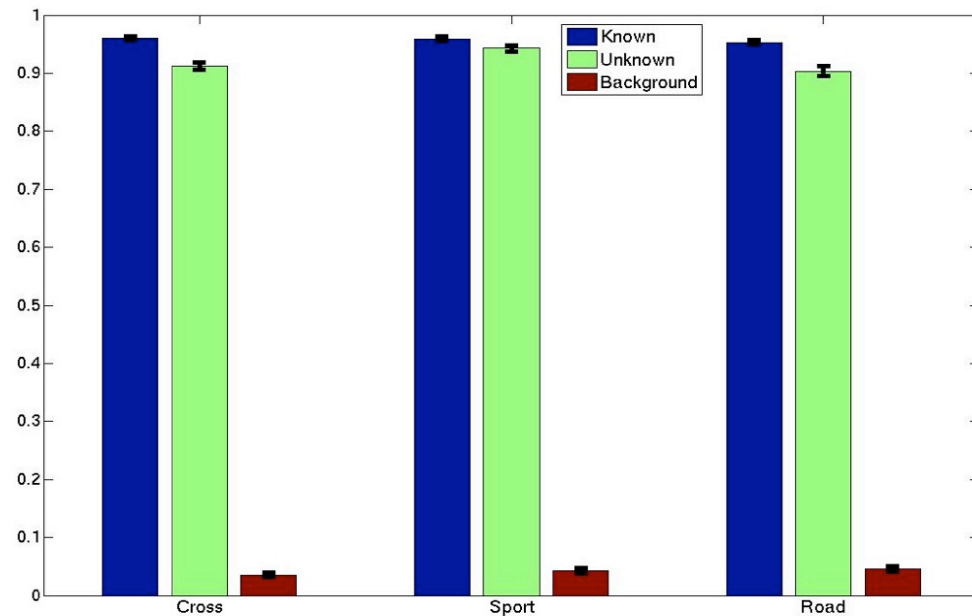
- $Q^s(X)$:

- Construct a set of discriminative classifiers for all specific subclasses.
- For each new example: assign the subclass achieving the maximal margin, and return this margin value.
- Compare this margin to a threshold to decide acceptance vs. rejection.

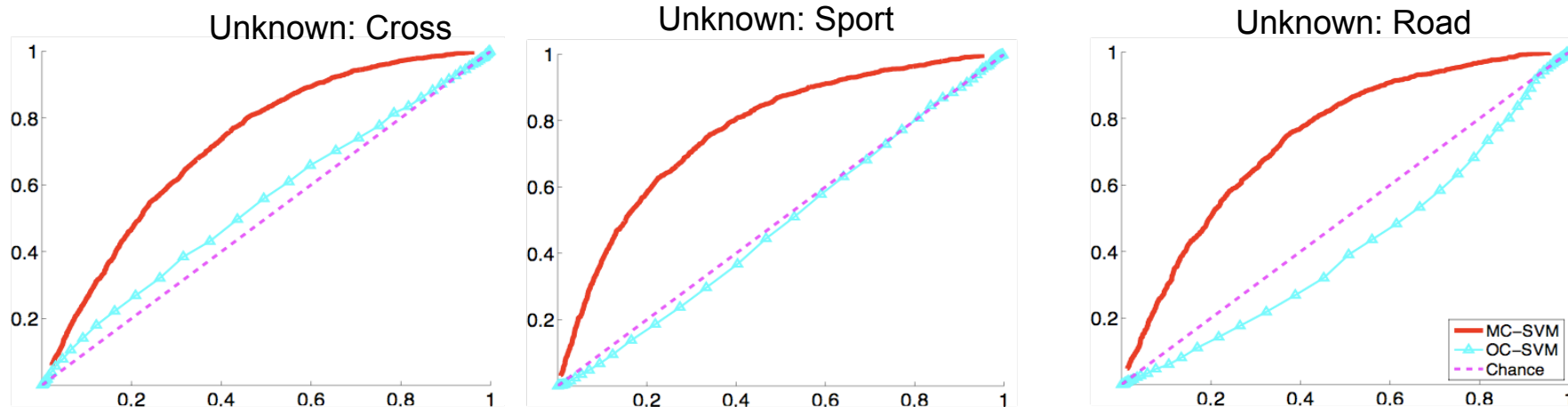
New subclass detection: motorbikes

- Three types of Motorbikes: Cross, Sport & Road. In each set of experiments, one of them is left out as the unknown.

General:



Specific:

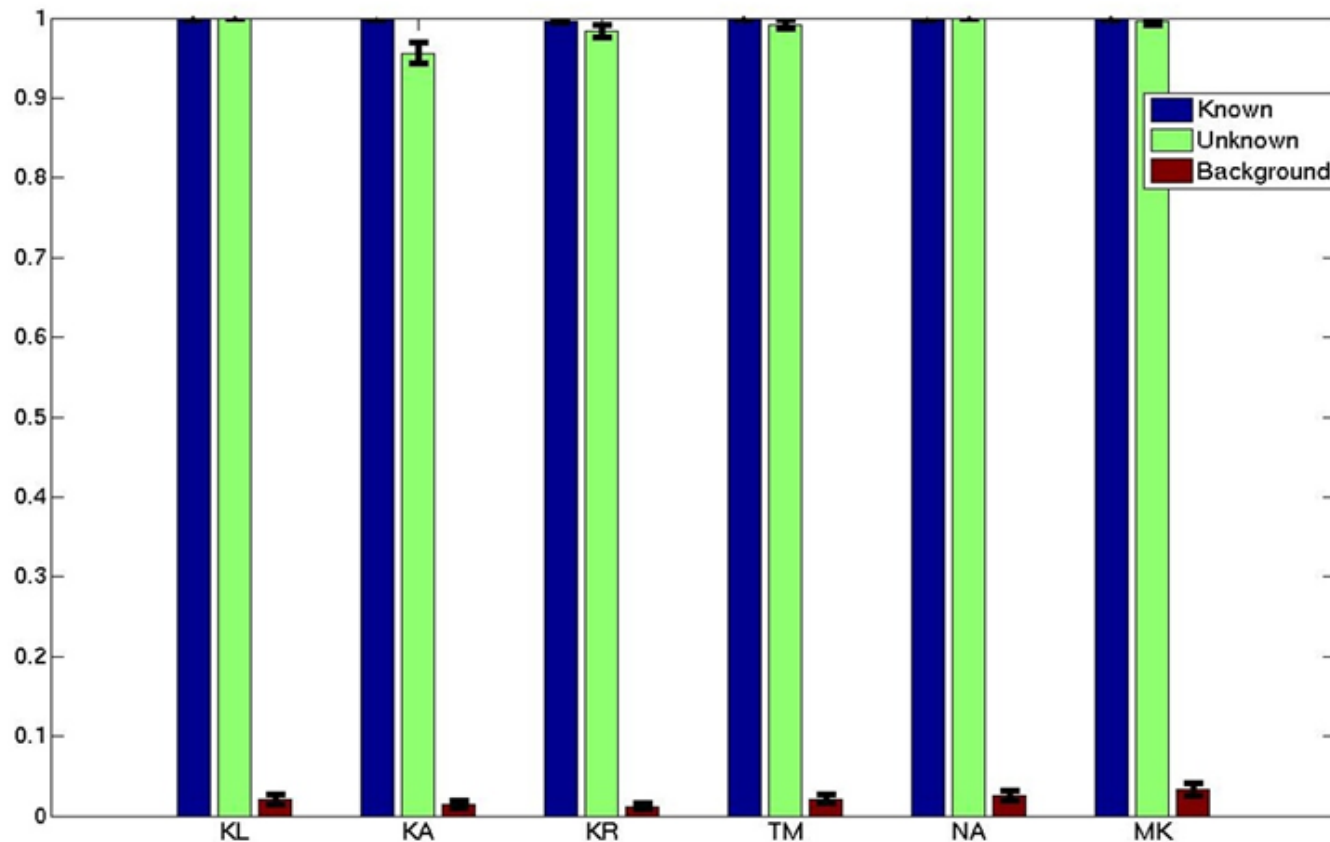


New subclass detection: faces

- Six individuals: In each set of experiments, one person is left out as the unknown.



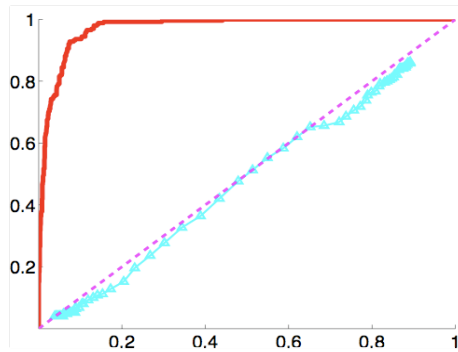
General:



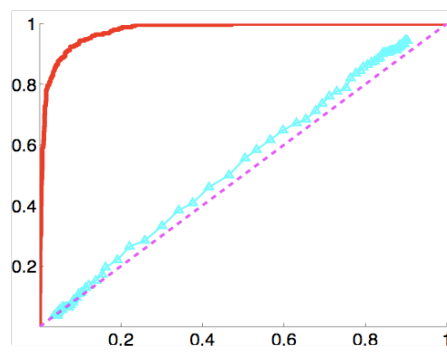
New subclass detection: faces

Specific:

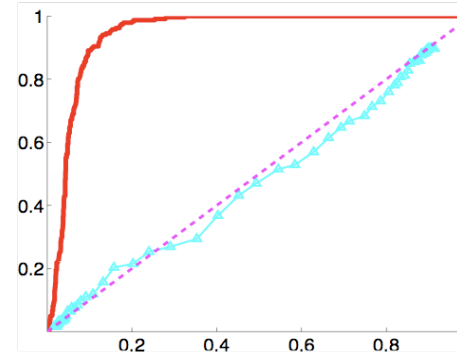
Unknown: KA



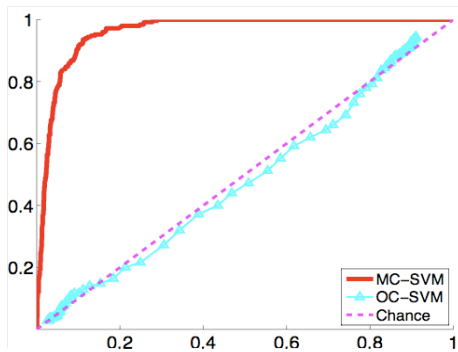
Unknown: KL



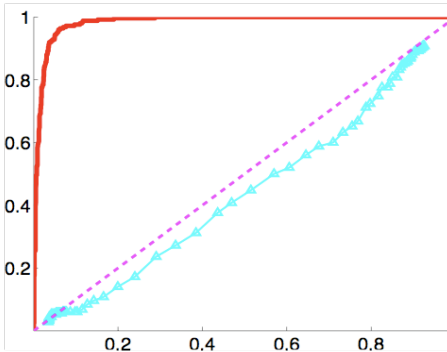
Unknown: KR



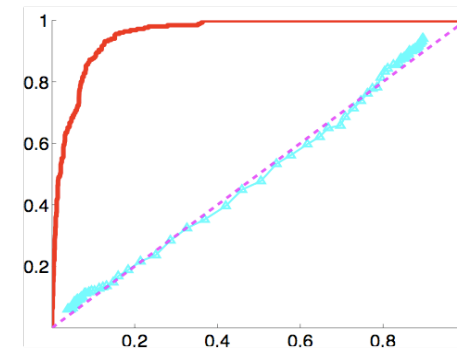
Unknown: MK



Unknown: NA

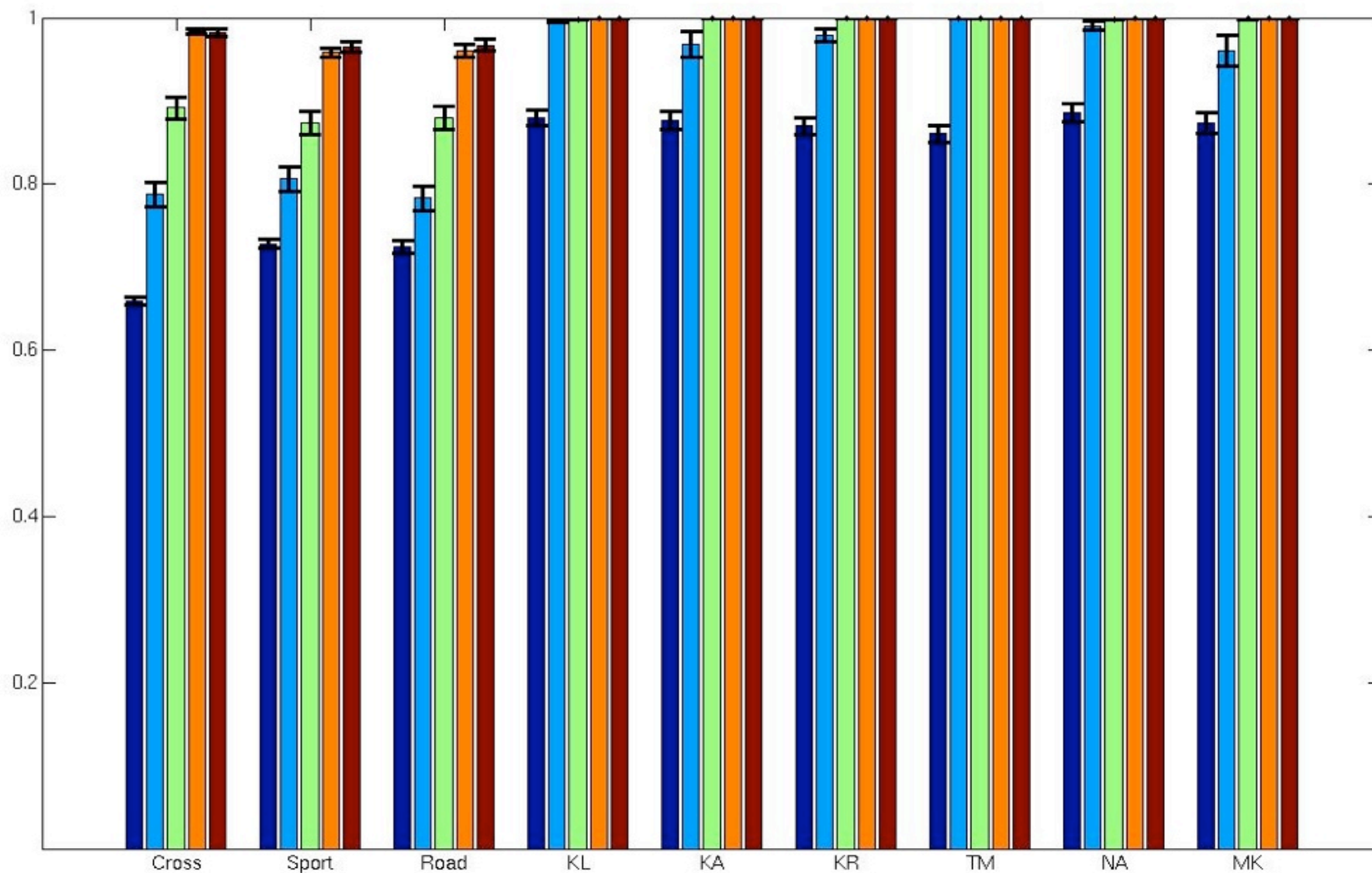


Unknown: TM



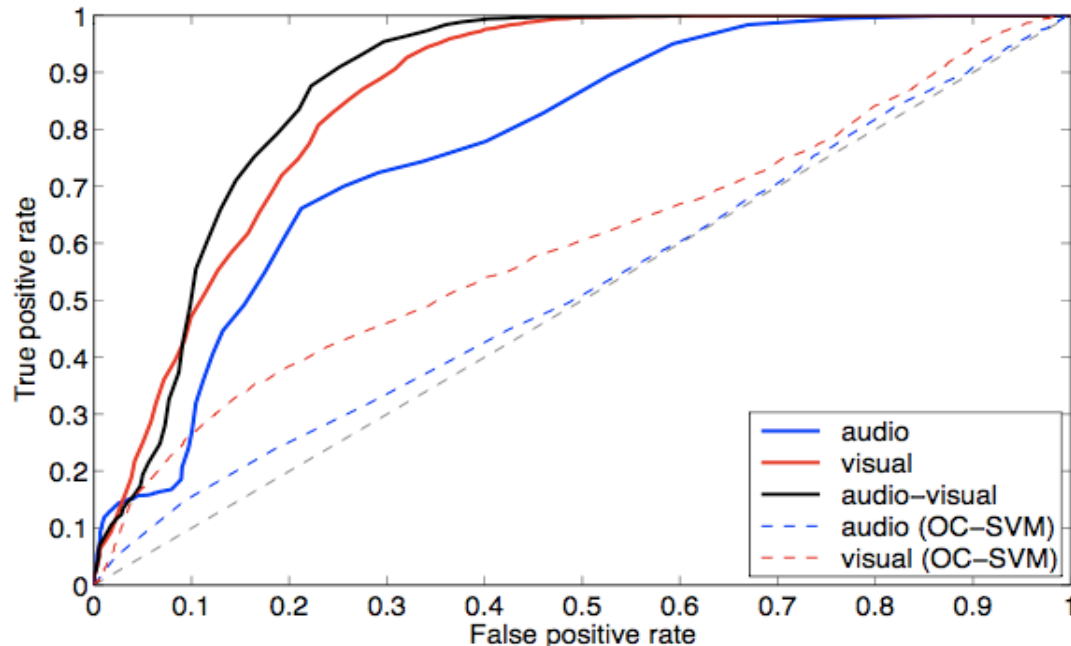
New subclass detection: detection of noisy images

$\frac{\# \text{ Specific \& General Reject}}{\# \text{ Specific Reject}}$



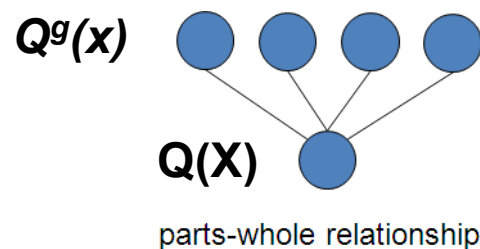
New subclass detection: Speaker verification

- Six known and 11 unknown individuals, photographed while approaching the camera and speaking to a microphone.
- General level Face and Speech classifiers.
- Specific individual classifiers.
- Fusion was done by using a threshold over the normalized average margin of both modalities.



Out Of Vocabulary word detection

- An Out-Of-Vocabulary word is a word that doesn't appear in the dictionary.
- Motivation: the appearance of such a word in an utterance typically carries more information than the rest of the words in the utterance.
- This is a part-whole example – utterances are combinations of words.



- Two ways for computing the probability of an utterance:
 - General level: using generic Phoneme recognizers
 - Specific level: using Constrained language model

Out Of Vocabulary word detection

-General level: using generic Phoneme classifiers

$$Q^g(X) = p(X) = \sum_u p(X|u)p(u) \geq p(X|\bar{u})p(\bar{u}) = p(X|\bar{u}) \prod_k p(w_k)$$

-Specific level: Constrained language model

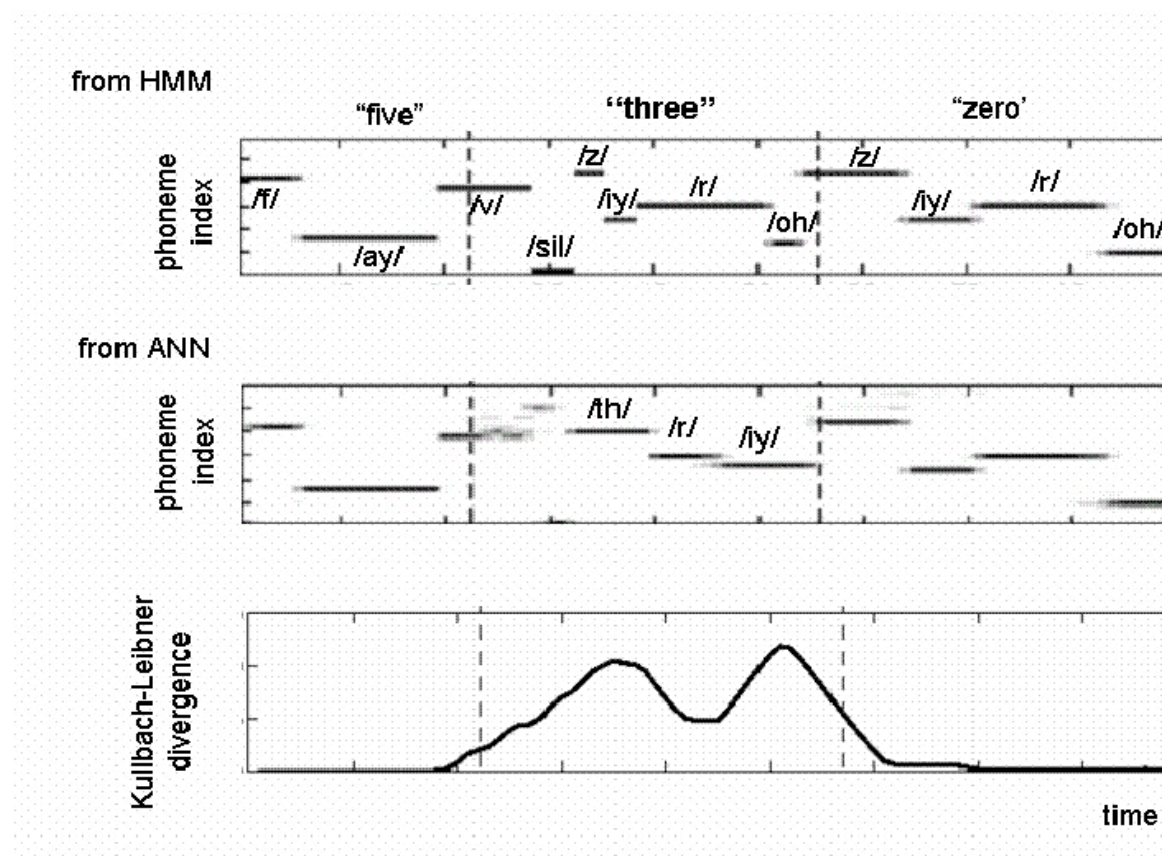
$$Q(X) = p(X|L) = \sum_u p(X|u, L)p(u|L) \approx p(X|\bar{u}, L)p(\bar{u}|L) = p(X|\bar{u}) \prod_k p(w_k|L)$$

Incongruency detection algorithm: compute the KL-divergence between the probability distributions over phonemes (posteriors) for each word, induced by $Q(X)$ and $Q^g(X)$. Identify incongruence when this distance is unusually large.

Problem: divergence may fail when models are wrong, or when the two posteriors differ simply because the classifiers implicitly reject by predicting a different outcome.

Experiment 1

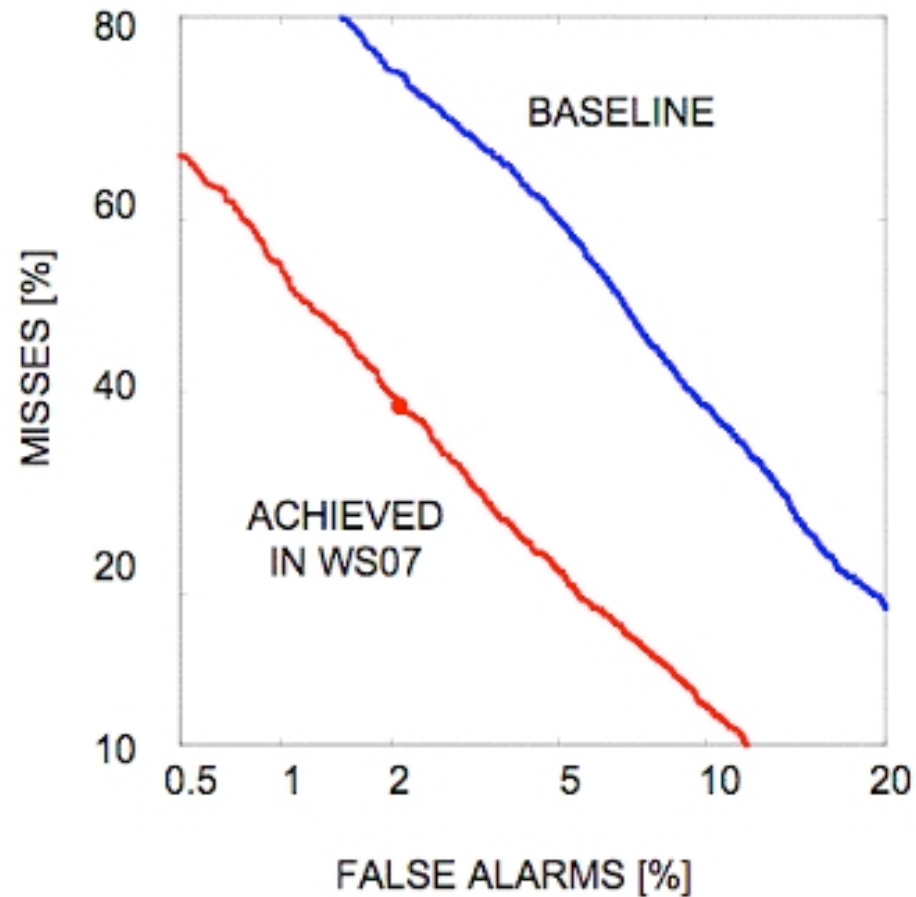
- An HMM constrained recognizer based on a lexicon without the word 'three'
- An unconstrained (no lexicon) phoneme based recognizer.
- The constrained recognizer forced the recognition of 'three' as 'zero'
- Posterior probabilities of phonemes (posteriors):



- Big divergence on the OOV word!!

Out Of Vocabulary detection

- Test on Wall Street Journal data set
- 20% least frequent words left out as OOV
- Compared to state-of-the-art Cmax technique



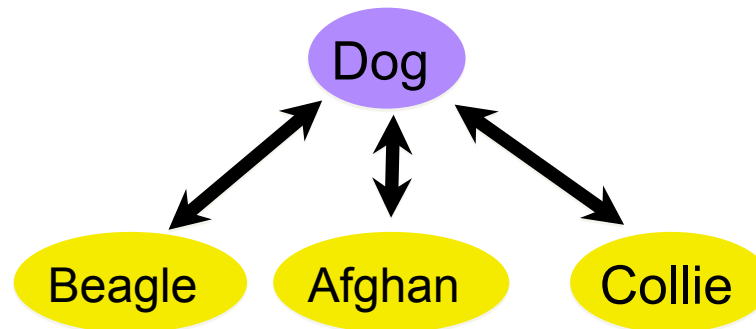
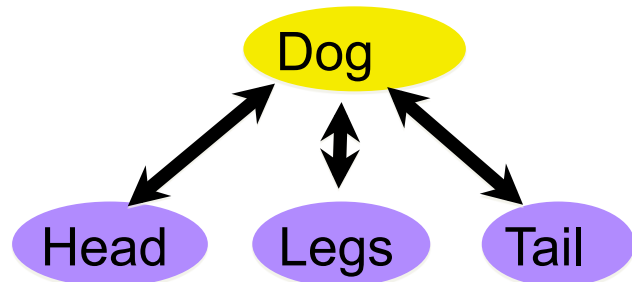
Summary

- Observing that there are different types of novel/unexpected events, we focus on a specific type of novel events, namely: **Incongruent events**.
- Contrary to common practice we first look for a level of description where the novel event is highly probable.
- Novel **Incongruent events** are detected by the **acceptance** of a general level classifier and the **rejection** of the more specific level classifier.
- We present a general framework based on the notion of partial order on labels for the detection of such novel events. Different types of labeled hierarchies such as **part-whole** and **class-membership** are captured by this framework.
- We demonstrate two different algorithmic implementations of this framework for two different types of novelty: new subclass detection (vision), and Out Of Vocabulary word detection (speech).

Thanks

Partial Order: A Unified Approach

- The two hierarchies part-whole and class-membership induce constraints on the observed features in different ways.
- In the class-membership hierarchy, a parent class admits higher number of combinations of features than any of its children, i.e., the parent category is less constrained than its children classes.
- In contrast, a parent node in the part-whole hierarchy imposes stricter constraints on the observed features than a child node.
- Our contribution: we deal with both hierarchies using the same framework



Partial Order: implied recognizers

We construct different recognizers
using the same input data
giving the same (or similar) output on training data

Using either

$Q(x)$: only implicit knowledge extracted from training data

$Q^s(x)$, $Q^g(x)$: explicit knowledge via the partial order

$Q(x)$: a classifier for class 'a', derived from training data without using the partial order relations.

If $|A^s| > 1$, $Q^s(x)$: a classifier for class 'a' which is based on the probability of concepts in A^s .

If $|A^g| > 1$, $Q^g(x)$: a classifier of class 'a' which is based on the probability of concepts in A^g .

We look for disagreement on test data, to find incongruent events:

Observation X is **incongruent** if there exists a concept 'a' such that

$Q^g(X) \gg Q(X)$ or $Q(X) \gg Q^s(X)$.